### PATENT COOPERATION TREATY

## **PCT**

# TRANSLATION INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

|   | .1 61 6   |  |                         |   |  |  |  |  |  |
|---|---|--|-------------------------|---|--|--|--|--|--|
| Applicant's or ag   | gent's file reference   | FOR FURTHER ACTI   | ON                      | See Form PCT/IPEA/416                         |  |  |  |  |  |
| International app   | olication No.   | International filing date (d                                     | ay/month/year)          | Priority date (day/month/year)                |  |  |  |  |  |
|   | 2004/013714   | 14.09.2004   | <u></u>                 | 26.09.2003                                    |  |  |  |  |  |
| International Patent Classification (IPC) or national classification and IPC  |   |  |                         |   |  |  |  |  |  |
| C09K3/00, C08K3/00, C08L29/14, C08L101/00, G02B5/22   |   |  |                         |   |  |  |  |  |  |
| Applicant KUREHA CORPORATION  |   |  |                         |   |  |  |  |  |  |
| <ol> <li>This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</li> </ol>       |   |  |                         |   |  |  |  |  |  |
| 2. This R   |   |  |                         |   |  |  |  |  |  |
| 3. This re  | port is also accompanied  | by ANNEXES, comprising:  |                         |   |  |  |  |  |  |
| a. 🛭  | (sent to the applica  | st and to the International Bureau                               | ı) a total of 4         | sheets, as follows:                           |  |  |  |  |  |
|   | sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). |  |                         |   |  |  |  |  |  |
| sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. |   |  |                         |   |  |  |  |  |  |
| ъ. [  |   |  |                         |   |  |  |  |  |  |
| <u> </u>  |   |  |                         | , containing a sequence listing and/or tables |  |  |  |  |  |
|   |   | nputer readable form only, as inc<br>ministrative Instructions). | dicated in the Supple   | mental Box Relating to Sequence Listing (see  |  |  |  |  |  |
| 4. This r   | eport contains indications  | relating to the following items:                                 |                         |   |  |  |  |  |  |
|   | Box No. I Basis   | of the report  |                         |   |  |  |  |  |  |
|   | Box No. II Priori   | ty   |                         |   |  |  |  |  |  |
|   | Box No. III Non-  | stablishment of opinion with reg                                 | ard to novelty, invent  | ive step and industrial applicability         |  |  |  |  |  |
|   | Box No. IV Lack   | of unity of invention  |                         |   |  |  |  |  |  |
|   | Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement   |  |                         |   |  |  |  |  |  |
|   | Box No. VI Certain documents cited  |  |                         |   |  |  |  |  |  |
|   | Box No. VII Certa   | VII Certain defects in the international application             |                         |   |  |  |  |  |  |
|   | Box No. VIII Certa  | n observations on the internation                                | al application          |   |  |  |  |  |  |
| Date of submission of the demand  |   | Dat  | te of completion of the | is report                                     |  |  |  |  |  |
|   |   |  |                         |   |  |  |  |  |  |
| Name and mailing address of the IPEA/JP   |   |  | thorized officer        |   |  |  |  |  |  |
|   |   |  |                         |   |  |  |  |  |  |
| Facsimile No.   |   |  | ephone No.              |   |  |  |  |  |  |
| acommic No.   |   |  |                         |   |  |  |  |  |  |

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.
PCT/JP2004/013714

| Box | No. I  | Basis of the report   |                                     |                                     |  |  |  |  |
|-----|--|---|-------------------------------------|-------------------------------------|--|--|--|--|
| 1.  | <ol> <li>With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.</li> </ol>  |   |                                     |                                     |  |  |  |  |
|     | This report is based on translations from the original language into the following language which is the language of a translation furnished for the purposes of:  international search (Rule 12.3 and 23.1(b))  |   |                                     |                                     |  |  |  |  |
|     |  | publication of the international application (Rule 12.4)  |                                     |                                     |  |  |  |  |
|     |  | international preliminary examination (Rule 55.2 and/or   | 55.3)                               |                                     |  |  |  |  |
| 2.  | With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):  the international application as originally filed/furnished |   |                                     |                                     |  |  |  |  |
|     |  | the description:  |                                     |                                     |  |  |  |  |
|     |  | pages 1-18,20-22  |                                     | as originally filed/furnished       |  |  |  |  |
|     |  | pages* 19   | received by this Authority on 2     | 1.07.2005                           |  |  |  |  |
|     |  | pages*  | received by this Authority on       |                                     |  |  |  |  |
|     | $\boxtimes$  | the claims:   |                                     |                                     |  |  |  |  |
|     |  | nos. 6,8-10,15  |                                     | as originally filed/furnished       |  |  |  |  |
|     |  | nos.*   |                                     | ith any statement) under Article 19 |  |  |  |  |
|     |  |   | received by this Authority on2:     | •                                   |  |  |  |  |
|     |  | nos.*   |                                     |                                     |  |  |  |  |
|     | $\square$  |   |                                     |                                     |  |  |  |  |
|     |  | the drawings:   |                                     |                                     |  |  |  |  |
|     |  | sheets fig. 1-5   |                                     | as originally filed/furnished       |  |  |  |  |
|     |  | sheets*   | received by this Authority on       |                                     |  |  |  |  |
| ļ   |  | sheets*   | received by this Authority on       |                                     |  |  |  |  |
|     |  | a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing. |                                     |                                     |  |  |  |  |
| 3.  | $\boxtimes$  | The amendments have resulted in the cancellation of:  |                                     |                                     |  |  |  |  |
|     |  | the description, pages  |                                     | <del></del>                         |  |  |  |  |
|     |  | the claims, nos. 2-4, 11-13   |                                     |                                     |  |  |  |  |
|     |  | the drawings, sheets/figs   |                                     |                                     |  |  |  |  |
|     |  |   |                                     |                                     |  |  |  |  |
|     |  | any table(s) related to sequence listing (specify):   |                                     |                                     |  |  |  |  |
| 4.  |  | This report has been established as if (some of) the amendm   | ents annexed to this report and lis | ted below had not been made, since  |  |  |  |  |
| ".  | Ш  | they have been considered to go beyond the disclosure as file                                       | d, as indicated in the Supplemental | Box (Rule 70.2(c)).                 |  |  |  |  |
|     |  | the description, pages  |                                     | <del>_</del>                        |  |  |  |  |
|     |  | the claims, nos.  |                                     |                                     |  |  |  |  |
|     |  | the drawings, sheets/figs   |                                     |                                     |  |  |  |  |
| 1   |  | the sequence listing (specify):   |                                     |                                     |  |  |  |  |
|     |  | any table(s) related to sequence listing (specify):   |                                     |                                     |  |  |  |  |
|     | If ite   | tem 4 applies, some or all of those sheets may be marked "super                                     |                                     |                                     |  |  |  |  |

International application No.
PCT/JP2004/013714

| Box                 |                               | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |    |       |     |    |               |
|---------------------|-------------------------------|---|----|-------|-----|----|---------------|
| 1.                  | Statement                     |   |    |       |     |    |               |
|                     | Novelty (N)                   | Claims  | 1, | 5-10, | 14, | 15 | YES           |
|                     |                               | Claims  |    |       |     |    | NO            |
| Inventive step (IS) |                               | Claims  | 1, | 5-10, | 14, | 15 | YES           |
|                     |                               | Claims  |    |       |     |    | <sup>NO</sup> |
|                     | Industrial applicability (IA) | Claims  | 1, | 5-10, | 14, | 15 | YES           |
|                     |                               | Claims  |    |       |     |    | NO            |
| l                   |                               |   |    |       |     |    |               |

2. Citations and explanations (Rule 70.7)

Claims 1, 5 to 10, 14 and 15

The prior art documents, including the belowmentioned reference documents, do not include any direct or indirect disclosure with regards to a composition for absorbing infrared rays that includes an agent for absorbing infrared rays, which is configured from the phosphorous-containing copper compound that is set forth in the present application, and an agent for preventing blackening, which is configured from the metal salt compound that is set forth in the present application. Such being the case, the inventions that are set forth in the present application are novel and involve an inventive step.

#### Citations:

Reference Document 1: JP 6-207161 A (Asahi Glass Co., Ltd.), 26 July 1994

Reference Document 2: JP 9-211220 A (Kureha Chemical Ind. Co., Ltd.), 15 August 1997

Reference Document 3: JP 2002-97041 A (Sekisui Chemical Co., Ltd.), 02 April 2002

Reference Document 4: JP 11-52125 A (Kureha Chemical Ind.

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Co., Ltd.), 26 February 1999

Reference Document 5: WO 99/26952 A (Kureha Chemical Ind.

Co., Ltd.), 03 June 1999

Reference Document 6: JP 2002-212540 A (Kureha Chemical

Ind. Co., Ltd.), 31 July 2002

Thereamong, reference documents 1 to 3 are cited in the international search report.